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**BEFORE THE BOARD OF PATENT APPEALS  
AND INTERFERENCES**

Application Number: 10/656,525  
Filing Date: September 05, 2003  
Appellant(s): BARTON ET AL.

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For Appellant

**EXAMINER'S ANSWER**

This is in response to the appeal brief filed 9/8/2007 appealing from the Office action mailed 10/18/2006.

**(1) Real Party in Interest**

A statement identifying by name the real party in interest is contained in the brief.

**(2) Related Appeals and Interferences**

A statement identifying the related appeals and interferences which will directly affect or be directly affected by or have a bearing on the decision in the pending appeal is contained in the brief.

**(3) Status of Claims**

The statement of the status of claims contained in the brief is correct.

**(4) Status of Amendments After Final**

The appellant's statement of the status of amendments after final rejection contained in the brief is correct.

**(5) Summary of Claimed Subject Matter**

The summary of claimed subject matter contained in the brief is correct.

**(6) Grounds of Rejection to be Reviewed on Appeal**

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The appellant's statement of the grounds of rejection to be reviewed on appeal is correct.

**(7) Claims Appendix**

The copy of the appealed claims contained in the Appendix to the brief is correct.

**(8) Evidence Relied Upon**

Oracle 9i Database Documentation (Release 2 [9.2], March 2002).

6,993,529	BASKO et al.	01-2006
6,032,159	RIVLIN	02-2000

**(9) Grounds of Rejection**

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of

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the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1,3-15,23-36,43,50-51,53-55,58-63 and 65 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oracle 9i Database Documentation (Release 2 [9.2], March 2002) (Oracle hereinafter) in view of Basko et al. (Basko hereinafter) (Patent Number 6,993,529).

As per claim 1, Oracle teaches

“a transfer mechanism that transfers database objects” (data transfers, page 2-40, Oracle-Supplied Types section, Oracle 9i SQL Reference);

“and a ... control database object that represents the job, the transfer mechanism operating under control of the control database object to transfer the objects in the set” (CREATE PROCEDURE, page 14-62, Oracle 9i SQL Reference).

Oracle does not explicitly indicate “queryable ... and specifies the set of objects”.

However, Basko discloses “queryable ... and specifies the set of objects” (metadata is stored in tables, column 10, lines 31-59).

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “queryable ... and specifies the set of objects” would have given those skilled in the art the tools to improve the invention by allowing inquiries into available data sources when deciding how to implement access. This gives the user the advantage of having dynamic access and control of resources.

As per claim 3, Oracle teaches

“the control database object further specifies an order in which the transfer mechanism transfers the objects in the set” (ORDER BY, page 18-9, Oracle 9i SQL Reference).

As per claim 4, Oracle teaches

“the order orders the objects in the set” (ORDER BY, page 18-9, Oracle 9i SQL Reference) “by size” (VSIZE, page 6-204, Oracle 9i SQL Reference).

As per claim 5, Oracle teaches

“the control database object includes a filter that further specifies the set of objects” (WHERE, page 18-5, Oracle 9i SQL Reference).

As per claim 6, Oracle teaches

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“the transfer mechanism further performs an operation on one or more objects belonging to the set” (page 18-6, Oracle 9i SQL Reference);

“and the control database object includes a specification of the operation” (page 18-6, Oracle 9i SQL Reference).

As per claim 7, Oracle teaches

“the operation is an operation that transforms the object” (examples, page 18-27 through 18-30, Oracle 9i SQL Reference).

As per claim 8, Oracle teaches

“the operation is an operation that remaps a name in the object to a different name” (expr AS c\_alias, page 18-6, Oracle 9i SQL Reference; example SELECT department\_id AS d\_e\_dept\_id, page 18-38, Oracle 9i SQL Reference).

As per claim 9, Oracle teaches

“the control database object includes a specification of a status of the job; and the transfer mechanism updates the status in the specification during the transfer” (datafile status, page 2-3, Oracle 9i User-Managed backup and Recovery Guide).

As per claim 10, Oracle teaches

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“the control database object is queryable to obtain a current status of the job from the specification of the status” (query the view, page 2-3, Oracle 9i User-Managed backup and Recovery Guide).

As per claim 11, Oracle teaches

“the transfer mechanism employs the specification of the status of the job”(query the view, page 2-3, Oracle 9i User-Managed backup and Recovery Guide) ”to restart the job after the job has been stopped” (resume where it left off, page 4-34, Oracle 9i User-Managed backup and Recovery Guide).

As per claim 12, Oracle teaches

“the control database object specifies a remote database management system as a source of the set of objects” (SELECT and external tables, page 17-48, table 17-4, Oracle 9i SQL Reference);

“and the transfer mechanism fetches the set of objects from the remote database management system” (external table, SELECT, page 17-48, table 17-4, Oracle 9i SQL Reference).

As per claim 13, Oracle teaches

“the control database object specifies the database management system as a destination of the set of database objects” (remote output table, page 17-26, Oracle 9i SQL Reference);

“and the transfer mechanism further fetches the set of database objects into the database management system” (SELECT, page 18-4, Oracle 9i SQL Reference).

As per claim 14, Oracle teaches

“the control database object specifies a set of files in the database system as a source or destination of the set of database objects” (page 17-48, table 17-4, Oracle 9i SQL Reference).

As per claim 15, Oracle teaches

“when the set of files is the source of the set of database objects, the set of files is the result of a job and includes a copy of the control database object for the job” (schema objects, stored procedure, pages 2-107 through 2-108, Oracle 9i SQL Reference).

As per claim 23, Oracle teaches

“the control database object further specifies a template whereby the transfer mechanism may add a file to the set of files when required for transferring the objects” (add a file, page 13-28, SQL Reference).

As per claim 24, Oracle teaches

“the control database object specifies a remote database management system as a source of the set of objects” (SELECT and external tables, page 17-48, table 17-4,



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Oracle 9i SQL Reference) “and a set of files in the database system as a destination therefor” (DATAFILE specifies datafile or files, pages 15-83 through 15-84, Oracle 9i SQL Reference);

“and the transfer mechanism transfers the set of objects from the remote database management system to the set of files” (tablespace, page 9-29, Oracle 9i SQL Reference).

As per claim 25, Oracle teaches

“the control database object specifies a set of files in the database system as a source of the set of objects” (datafile, page 9-29, Oracle 9i SQL Reference);

“and the transfer mechanism transfers the set of objects from the set of files into the database management system” (tablespace, page 9-29, Oracle 9i SQL Reference).

As per claim 26, Oracle teaches

“the transfer mechanism further provides an interface whereby an entity that uses the transfer mechanism may interact with the job” (SQL\*Plus SQL prompt, page 2-2, Oracle 9i User-Managed Backup and Recovery Guide).

As per claim 27, Oracle teaches

“the interface permits the entity to attach to and detach from the job for as long as the job's control database object exists, transfer of the objects by the transfer

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mechanism being unaffected by detachment of the entity from the job” (SQL\*Plus SQL prompt, page 2-2, Oracle 9i User-Managed Backup and Recovery Guide).

As per claim 28, Oracle teaches

“the entity may use the interface via a network connection to the database management system” (access the remote database, first paragraph, page 2-120, Oracle 9i SQL Reference).

As per claim 29, Oracle teaches

“the interface includes a defining interface whereby the entity may define a portion of the job's control database object” (SQL\*Plus SQL prompt, page 2-2, Oracle 9i User-Managed Backup and Recovery Guide).

As per claim 30, Oracle teaches

“the interface includes an executing interface whereby the entity may interact with the transfer mechanism from the time the transfer mechanism begins transferring the objects in the set until the job's control database object ceases to exist” (SQL\*Plus SQL prompt, page 2-2, Oracle 9i User-Managed Backup and Recovery Guide).

As per claim 31, Oracle teaches

“the entity may use the executing interface to obtain a current status of the job from a specification of the status of the job in the control database object” (page 2-3,

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Determining Datafile Status for Online Tablespace Backups section, Oracle 9i User-Managed Backup and Recovery Guide).

As per claim 32, Oracle teaches

“the entity may use the executing interface to stop performance of the job by the transfer mechanism or the transfer mechanism may stop performance of the job in response to an error” (interactively stop, page 1-14, second paragraph, Oracle 9i Backup and Recovery Concepts).

As per claim 33, Oracle teaches

“the entity may use the executing interface to restart a stopped job, the transfer mechanism using a specification of the status of the job in the control database object to restart the job” (interactively start or stop, page 1-14, second paragraph, Oracle 9i Backup and Recovery Concepts).

As per claim 34, Oracle teaches

“the entity may use the executing interface to affect allocation of resources by the transfer mechanism to the job” (threads parameter, page 3-8, Oracle 9i, SQL\*Plus Users Guide and Reference).

As per claim 35, Oracle teaches

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“the transfer mechanism operates on objects in the set in parallel”

(parallel\_clause, page 7-49, Oracle 9i SQL Reference);

“and the entity uses the executing interface to specify a maximum degree of parallelism for the job” (integer indicates degree of parallelism, page 7-51, Oracle 9i SQL Reference).

As per claim 36, Oracle teaches

“at least one file containing the objects belonging to the set thereof” (external table, page 15-8, Oracle 9i SQL Reference);

Oracle does not explicitly indicate “and a control object in the set thereof that specifies for each object belonging to the set the location of the object in the set of files and an order in which the database management system transfers the object during the transfer”.

However, Basko discloses “and a control object in the set thereof that specifies for each object belonging to the set the location of the object in the set of files and an order in which the database management system transfers the object during the transfer” (metadata is stored in tables, column 10, lines 31-59; data flow diagram imports order in which objects are transferred, column 6, lines 31-51).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “and a control object in the set thereof that specifies for each object belonging to the set the location of the object in the set of files and an order in which the database management

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system transfers the object during the transfer” would have given those skilled in the art the tools to improve the invention by allowing inquiries into available data sources when deciding how to implement access. This gives the user the advantage of having dynamic access and control of resources.

As per claim 43, Oracle teaches

“and executing the job by causing the transfer mechanism to transfer the set of database objects under control of the job's control data base object” (data transfers, page 2-40, Oracle-Supplied Types section, Oracle 9i SQL Reference).

Oracle does not explicitly indicate “defining a queryable control database object that represents the job and specifies the set of objects”.

However, Basko discloses “defining a queryable control database object that represents the job and specifies the set of objects” (metadata is stored in tables, column 10, lines 31-59).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “defining a queryable control database object that represents the job and specifies the set of objects” would have given those skilled in the art the tools to improve the invention by allowing inquiries into available data sources when deciding how to implement access. This gives the user the advantage of having dynamic access and control of resources.

As per claim 50, Oracle teaches

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“the step of defining the job includes the step of creating the job's control database object” (CREATE PROCEDURE, page 14-62, Oracle 9i SQL Reference).

As per claim 51, Oracle teaches

“the step of defining the job includes the step of specifying a source and/or destination for the set of database objects in the job's control database object” (FROM, page 18-5, Oracle 9i SQL Reference).

As per claim 53, Oracle teaches

“the step of defining the job includes the step of specifying a filter in the job's control database object, the filter defining a subset of the specified set of database objects as the set of objects to be transferred in the job” (WHERE, page 18-5, Oracle 9i SQL Reference).

As per claim 54, Oracle teaches

“the step of defining the job includes the step of specifying an operation in the job's control database object that is to be performed on one or more objects in the set” (SELECT, page 18-5, Oracle 9i SQL Reference).

As per claim 55, Oracle teaches

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“the step of defining the job includes the step of defining a parameter for the job in the job's control database object for the job” (examples, page 18-27 through 18-30, Oracle 9i SQL Reference).

As per claim 58, Oracle teaches

“the control database object includes a specification of one or more parameters for the job, the transfer mechanism transferring the objects in the set as specified by the parameter” (page 18-27 through 18-30, Oracle 9i SQL Reference).

As per claim 59,

Oracle does not explicitly indicate “the parameter is an estimate only parameter, the transfer mechanism responding thereto by providing an estimate of the space required for the objects in the set without transferring the objects”.

However, Basko discloses “the parameter is an estimate only parameter, the transfer mechanism responding thereto by providing an estimate of the space required for the objects in the set without transferring the objects” (column 9, lines 5-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “the parameter is an estimate only parameter, the transfer mechanism responding thereto by providing an estimate of the space required for the objects in the set without transferring the objects” would have given those skilled in the art the tools to improve the invention

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by allowing space requirements to be checked before a transfer. This gives the user the advantage of not starting a transfer that cannot complete.

As per claim 60,

Oracle does not explicitly indicate “the control database object is a table and includes rows representing the objects belonging to the set of database objects”.

However, Basko discloses “the control database object is a table and includes rows representing the objects belonging to the set of database objects” (column 10, lines 31-58).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “the control database object is a table and includes rows representing the objects belonging to the set of database objects” would have given those skilled in the art the tools to improve the invention by having an object which is easily stored in the database format. This gives the user the advantage of having a standardized format.

As per claim 61,

Oracle does not explicitly indicate “the row representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects”.



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However, Basko discloses “the row representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects” (column 10, lines 31-58).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “the row representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects” would have given those skilled in the art the tools to improve the invention by allowing a more detailed timeline of transfers to be developed. This gives the user the advantage of being sure that object relationships are not altered.

As per claim 62,

Oracle does not explicitly indicate “the data storage device contains code which, when executed by a processor, implements the apparatus set forth in claim 1”.

However, Basko discloses “the data storage device contains code which, when executed by a processor, implements the apparatus set forth in claim 1” (column 3, line 60 through column 4, line 3).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “the data storage device contains code which, when executed by a processor, implements the apparatus set forth in claim 1” would have given those skilled in the art the tools to

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improve the invention by allowing the program to be stored for future use. This gives the user the advantage of having a non-volatile copy of the resource.

As per claim 63,

Oracle does not explicitly indicate “the data storage device contains the set of files set forth in claim 36”.

However, Basko discloses “the data storage device contains the set of files set forth in claim 36” (column 6, lines 20-30).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “the data storage device contains the set of files set forth in claim 36” would have given those skilled in the art the tools to improve the invention by allowing the program to be stored for future use. This gives the user the advantage of having a non-volatile copy of the resource.

As per claim 65,

Oracle does not explicitly indicate “the data storage device contains code which, when executed by a processor, implements the method set forth in claim 43”

However, Basko discloses “the data storage device contains code which, when executed by a processor, implements the method set forth in claim 43”. (column 3, line 60 through column 4, line 3).

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Oracle and Basko because using the steps of “the data storage device contains code which, when executed by a processor, implements the method set forth in claim 43” would have given those skilled in the art the tools to improve the invention by allowing the program to be stored for future use. This gives the user the advantage of having a non-volatile copy of the resource.

Claims 16-22,37-38,44-49,56-57 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oracle 9i Database Documentation (Release 2 [9.2], March 2002) (‘Oracle’ hereinafter) in view of Basko et al. (‘Basko’ hereinafter) (Patent Number 6,993,529) and further in view of Rivlin (Patent Number 6,032,159).

As per claim 16,

Oracle does not explicitly indicate “the control database object is a table and includes rows representing objects belonging to the set of database objects”.

However, Rivlin discloses “the control database object is a table and includes rows representing objects belonging to the set of objects” (column 6, line 55 through column 7, line 10).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the control database object is a table and includes rows representing objects belonging to the set of objects” would have given

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those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 17,

Oracle does not explicitly indicate “each row representing an object belonging to the set includes a specification of an order in which the object represented by the row is to be transferred from the set of files relative to other objects belonging to the set”.

However, Rivlin discloses “each row representing an object belonging to the set includes a specification of an order in which the object represented by the row is to be transferred from the set of files relative to other objects belonging to the set” (column 6, line 55 through column 7, line 10).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “each row representing an object belonging to the set includes a specification of an order in which the object represented by the row is to be transferred from the set of files relative to other objects belonging to the set” would have given those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 18,

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Oracle does not explicitly indicate “when the set of files is the destination of the set of database objects, there is a row representing each object that has been transferred to the set of files”.

However, Rivlin discloses “when the set of files is the destination of the set of database objects, there is a row representing each object that has been transferred to the set of files” (column 6, line 55 through column 7, line 10).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “when the set of files is the destination of the set of database objects, there is a row representing each object that has been transferred to the set of files” would have given those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 19,

Oracle does not explicitly indicate “when the set of files is the source of the set of database objects, there is a row representing each object which is to be transferred into the database management system”.

However, Rivlin discloses “when the set of files is the source of the set of database objects, there is a row representing each object which is to be transferred into the database management system” (column 6, line 55 through column 7, line 10).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “when the set of files is the source of the

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set of database objects, there is a row representing each object which is to be transferred into the database management system” would have given those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 20,

Oracle does not explicitly indicate “the row representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects”.

However, Rivlin discloses “the row representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects” (column 6, line 55 through column 7, line 10).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the row representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects” would have given those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 21,

Oracle does not explicitly indicate “the set of files is the result of a job and includes a copy of the control database object for the job, the copy having a row for

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each database object contained in the set of files; and when the transfer mechanism is transferring the objects belonging to the set of objects from the set of files into the database management system, the control database object contains a copy of at least the rows representing the objects from the copy of the control database object in the file set”.

However, Rivlin discloses “the set of files is the result of a job and includes a copy of the control database object for the job, the copy having a row for each database object contained in the set of files; and when the transfer mechanism is transferring the objects belonging to the set of objects from the set of files into the database management system, the control database object contains a copy of at least the rows representing the objects from the copy of the control database object in the file set” (column 6, line 55 through column 7, line 10).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the set of files is the result of a job and includes a copy of the control database object for the job, the copy having a row for each database object contained in the set of files; and when the transfer mechanism is transferring the objects belonging to the set of objects from the set of files into the database management system, the control database object contains a copy of at least the rows representing the objects from the copy of the control database object in the file set” would have given those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 22,

Oracle does not explicitly indicate “the row in the copied rows representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects represented by the copied rows”.

However, Rivlin discloses “the row in the copied rows representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects represented by the copied rows” (column 6, line 55 through column 7, line 10).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the row in the copied rows representing a particular object includes a field whose value specifies an order in which the object is to be transferred relative to the other objects represented by the copied rows” would have given those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 37,

Oracle does not explicitly indicate “the file further includes metadata that defines a type of database objects and one or more database objects that belong to the type defined by the metadata and the order determines that the metadata is processed before the database objects that belong to the type defined by the metadata”.



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However, Rivlin discloses “the file further includes metadata that defines a type of database objects and one or more database objects that belong to the type defined by the metadata; and the order determines that the metadata is processed before the database objects that belong to the type defined by the metadata” (column 3, lines 9-42).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the file further includes metadata that defines a type of database objects and one or more database objects that belong to the type defined by the metadata; and the order determines that the metadata is processed before the database objects that belong to the type defined by the metadata” would have given those skilled in the art the tools to obtain speed benefits over previous methods. This gives the user the advantage of being able to copy specific objects in a set.

As per claim 38, Oracle teaches

“a header in each file of the set, the header including an indication the control object is contained in the file and if so, the location of the control object in the file and an identifier that identifies the file within the set” (page 7-8 and 7-9, Crosschecks of RMAN Backups and Copies section, Oracle 9i Recovery manager user’s Guide);

“and the control object uses the identifier in specifying the location of the object in the set of files” (page 7-8 and 7-9, Crosschecks of RMAN Backups and Copies section, Oracle 9i Recovery Manager User’s Guide).

As per claim 44,

Oracle does not explicitly indicate “the step performed in either the defining step or the executing step of: attaching to the job, attachment permitting at least reading and/or modification of the job's control database object”.

However, Rivlin discloses “the step performed in either the defining step or the executing step of: attaching to the job, attachment permitting at least reading and/or modification of the job's control database object” (column 7, lines 25-58).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the step performed in either the defining step or the executing step of: attaching to the job, attachment permitting at least reading and/or modification of the job's control database object” would have given those skilled in the art the tools to provide recoverable and consistent data in the case of a system failure. This gives the user the advantage of not risking losing time and data.

As per claim 45,

Oracle does not explicitly indicate “the step performed after the step of attaching to the job of: reading the job's control database object to get the job's current status”.

However, Rivlin discloses “the step performed after the step of attaching to the job of: reading the job's control database object to get the job's current status” (column 7, lines 25-58).

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It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the step performed after the step of attaching to the job of: reading the job's control database object to get the job's current status” would have given those skilled in the art the tools to provide recoverable and consistent data in the case of a system failure. This gives the user the advantage of not risking losing time and data.

As per claim 46, Oracle discloses

“the transfer mechanism transfers the data objects in parallel and the method further comprises the step performed after the step of attaching to the job of: specifying a degree of parallelism with which the objects may be transferred” (page 7-49 through 7-51, Oracle 9i SQL Reference).

As per claim 47,

Oracle does not explicitly indicate “the step performed after the step of attaching to the job of: starting the step of executing the job”.

However, Rivlin discloses “the step performed after the step of attaching to the job of: starting the step of executing the job” (column 7, lines 25-58).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the step performed after the step of attaching to the job of: starting the step of executing the job” would have given those

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skilled in the art the tools to provide recoverable and consistent data in the case of a system failure. This gives the user the advantage of not risking losing time and data.

As per claim 48, Oracle teaches

“the step performed after attaching to the job of: stopping the step of executing the job” (recovery CANCEL, page 4-18 through 4-19, Oracle 9i User-Managed backup and Recovery Guide).

As per claim 49,

Oracle does not explicitly indicate “saving job state in the control database object such that the step of executing the job may be restarted from the job state”.

However, Rivlin discloses “saving job state in the control database object such that the step of executing the job may be restarted from the job state” (column 7, lines 25-58).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “saving job state in the control database object such that the step of executing the job may be restarted from the job state” would have given those skilled in the art the tools to provide recoverable and consistent data in the case of a system failure. This gives the user the advantage of not risking losing time and data.

As per claim 56,

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Oracle does not explicitly indicate “the step of executing the job includes the step performed when the step of executing the job must be stopped of: saving job state in the control database object such that the stopped executing step may be restarted from the job state”.

However, Rivlin discloses “the step of executing the job includes the step performed when the step of executing the job must be stopped of: saving job state in the control database object such that the stopped executing step may be restarted from the job state” (column 7, lines 25-58).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the step of executing the job includes the step performed when the step of executing the job must be stopped of: saving job state in the control database object such that the stopped executing step may be restarted from the job state” would have given those skilled in the art the tools to provide recoverable and consistent data in the case of a system failure. This gives the user the advantage of not risking losing time and data.

As per claim 57,

Oracle does not explicitly indicate “the step of executing the job includes the step performed when the step of executing the job has been stopped of: using the job state to restart the stopped executing step”.

However, Rivlin discloses “the step of executing the job includes the step performed when the step of executing the job has been stopped of: using the job state to restart the stopped executing step” (column 7, lines 25-58).

It would have been obvious to one of ordinary skill in the art to combine Oracle, Basko, and Rivlin because using the steps of “the step of executing the job includes the step performed when the step of executing the job has been stopped of: using the job state to restart the stopped executing step” would have given those skilled in the art the tools to provide recoverable and consistent data in the case of a system failure. This gives the user the advantage of not risking losing time and data.

#### **(10) Response to Argument**

With respect to the outstanding 35 U.S.C. 103(a) rejections relating to claims 1 and 43, Applicant argues that Oracle does not disclose “queryable control database object”, it is respectfully submitted that the rejection of claim 1 does not state that the Oracle reference teaches the “queryable” element of the claim. With regards to the “control database object”, the Applicant states that the list of database objects at page 2-102 of the Oracle SQL Reference does not contain an SQL statement. In fact, stored procedures are contained in the list (found on pages 2-107 through 2-108), which is the object which is created via the CREATE PROCEDURE function.

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Further, Applicant admits that Basko's aggregation metadata are "queryable" and do represent a job, which shows that when combined with the Oracle reference the "queryable control database object" which represents a job. Applicant further argues that Basko does not teach a job which "transfers a set of database objects into or out of the database management system", however Basko is not used to teach this element. In fact, the Oracle SQL Reference is used to teach this element as shown on page 2-40:

"Oracle automatically provides the low-level infrastructure services needed for input-output, heterogeneous client-side access for new datatypes, and optimizations for data transfers between the application and the database".

From this citation it is clear that data transfers occur between the application and the database, or into and out of the database management system as claimed. A stored procedure is an object specifically defined so that it can be called from an application and returns objects contained within in the database management system back to the calling application. It is therefore respectfully submitted that the claim has in fact been taught by the combined references.

With respect to arguments regarding claims 3 and 4, Applicant argues that Oracle does not teach that the control database object specifies an order in which the transfer mechanism transfers the objects in the set. Further, the Applicant argues that the ORDER BY clause are not database objects. Respectfully, these constructs, along with SELECT statements, are the constructs from which the Stored Procedures (from claim 1) are constructed. Since these Procedures can contain the ORDER BY clause, which define the order which objects are placed into a set, therefore the order which the

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transfer mechanism transfers the objects in the set would follow based on the mechanism of the Stored Procedure in replying to application calls to the database. Therefore it is clear that the Oracle reference teaches these claims.

With respect to Applicant's arguments regarding claim 5, the Oracle reference teaches this limitation in the same manner as it teaches claims 3 and 4. The WHERE clause is a filter used in returning results from within a Stored Procedure, in which WHERE specifies the specific patterns to include in results sets SELECTed from database objects. Therefore it is clear that Oracle also teaches this claim.

With respect to Applicant's arguments regarding claims 6-8 and 53-55, the same logic as applied to claims 3-5, above, regarding how the Oracle reference teaches these claims can be applied; these constructs are the building blocks from which Stored Procedures are constructed. Therefore Oracle also teaches these claims.

With respect to Applicant's arguments regarding claims 9-11, the argument is that the Oracle reference do not teach the previously taught limitations (taught as shown in claim 1 above) where the limitations regarding transfer of a set of database objects into and out of the database system. Therefore Oracle teaches these claims.

With respect to arguments regarding claims 12 and 24, Applicant argues that the data source for an external table is not a remote database management system and



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does not contain database objects (the Applicant seems to be referring to the location specifier is a file). Respectfully, the portion cited by the Applicant does not state that the external table is not a database management system, in fact it states that the access driver interprets the information in the context of the external data which may be accessed in most any way depending upon the driver. In fact a driver could easily interface with an external database just as easily as a flat file. With respect to arguments regarding claim 13, the arguments regarding claims 3-5 apply to this claim as well. It is therefore respectfully submitted that the Oracle reference does teach these limitations.

With respect to arguments regarding claim 14 and 51, Applicant argues the destination aspect of the limitation however the claim states "source or destination" and it is therefore only required that one element or the other be taught. It is clear from the answer to arguments regarding claim 12, above, that the Oracle reference sufficiently teaches this claim. Regarding claim 15, the arguments regarding claim 1 can be applied to the Applicant's arguments.

With respect to arguments regarding claims 16-22 and 60-61, Applicant argues that the table contained in Rivlin does not contain rows representing objects belonging to the set of objects. It is respectfully submitted that the Oracle reference discloses objects (SQL Reference page 2-107) and the Rivlin reference discloses objects contained in a table (file table, column 7, lines 25-30) and that these objects represent a

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set (the set of files copied in bulk copy operation, column 7, lines 48-55). Respectfully, the claims are disclosed by the combination of references.

With respect to arguments regarding claim 23, Applicant argues that the section regarding logfiles in Oracle (SQL Reference, page 13-28), it is respectfully submitted that the citation is referencing the control file expansion to add a file automatically in the MAXDATAFILES Clause is in fact the intended citation. Therefore Oracle teaches the claim.

With respect to the arguments regarding claim 25, it is not clear from the claim language how dumpfiles are even involved in the claimed subject matter and it is respectfully submitted that the Applicant is reading limitations from his specification into the claim language. It is noted that datafiles are taught by the Oracle reference (page 9-29) and therefore the claim is disclosed by the reference.

With respect to arguments regarding claims 26-35, Applicant argues that SQL\*PLUS does not disclose the claimed interface whereby an entity that uses the transfer mechanism may interact with the job. Respectfully, the SQL\*Plus tool can be used to interact with Stored Procedures which have been shown to be the transfer mechanism and job as shown in claim 1. The remaining claims are disclosed by the relevant argument answers to claims above, and therefore Oracle discloses these claims.

With respect to arguments regarding claims 44,45,47,49,56 and 57, Applicant argues that Rivlin does not disclose attachment to or detachment from a job.

Respectfully, Rivlin discloses job status and detailed status of the job. Combined with Oracle's SQL\*PLUS as shown in the explanation of claims 26-35 above, the attachment or detachment via reading or modification of the job's control object (Stored Procedure) is possible. Therefore the claims are disclosed by the combination of references.

With respect to arguments regarding claim 46, Applicant argues that the cited parallelism does not disclose specifying a degree of parallelism with which the objects may be transferred. It is respectfully submitted that the cited reference in fact shows that the parallelism of the database object in the system means that more Stored Procedures are able to operate in parallel and allows the degree of parallelism for transferred objects to be controlled since these Stored Procedures work directly with external applications. Having more of these Procedures operating in parallel means that the degree of parallelism can be specified for the objects transferred. It is therefore submitted that Oracle does teach the claim.

With respect to arguments regarding claims 36-38 and 63, Applicant argues that the further limitations besides those in claims 1 and 43 which are not taught are the set of files and the queryable control object is contained in the set of files and specifies the locations of database objects. Oracle discloses that "which are functions or procedures

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written in PL/SQL and stored in the database, or written in a language like C or Java and stored externally” (SQL Reference, page 2-38), which shows that the control database object can be stored externally as in claim 36 and is therefore taught by the Oracle reference in combination with Basko.

With respect to claim 37, Applicant argues that Rivlin does not teach metadata which defines a type of objects and the order determines that the metadata is processed before the database objects. It is respectfully submitted that the first processing step in Rivlin is to construct the file attribute table (column 3, lines 10-12), where the file attributes table is the metadata in the instant application, and the attribute table then can contain rows which represent the objects which are files (column 3, lines 26-30), which cannot be processed until the attributes in the attribute table are constructed. It is therefore respectfully submitted that Oracle in view of Rivlin teaches the claim.

With respect to claim 38, Applicant argues that the claim is not taught by Oracle's relevant documentation. However, it is respectfully submitted that the crosschecks of backups and copies do in fact teach the claim. In the Oracle Recovery Manager User's Guide, the media catalog is checked for the existence of a specific piece of information and the location of such data. It is therefore noted that Oracle does teach the claim.

#### Conclusion:

The references cited disclose the claimed system and methods for performing jobs that transfer objects into and out of a database. In light of the forgoing arguments,

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the examiner respectfully requests the honorable Board of Appeals and Interferences to sustain the rejection.

**(11) Related Proceeding(s) Appendix**

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

Respectfully submitted,

/Jay Morrison/

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April 23, 2009

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